

## Determination of Reporting Status (Intellectual Property)

**REPORTING INSTRUCTIONS** – **Table 1** (below) lists the types of reportable intellectual property transactions covered by this survey. For each type listed, enter the U.S. Reporter's total transactions with foreign persons during the reporting period identified in question **1** of the survey. Enter the U.S. Reporter's total sales to foreign persons in column 3, and the U.S. Reporter's total purchases from foreign persons in column 4.

**NOTE:** Sales and purchases include accrued receipts and payments related to intellectual property. Definitions of the types of intellectual property transactions covered in **Table 1** can be found on pages 24 and 25 of the General Instructions.

**IMPORTANT** – Report amounts in thousands of U.S. dollars (omitting 000).

Round amounts of less than \$500.00 to 0. Do not enter amounts in the shaded portions of each item.

	Bil.	Mil.	Thous.	Dols.
1				
\$		1	335	000

EXAMPLE: If amount is \$1,334,891.00, report as . . . . .

### Distribution of intellectual property sales and purchases by types of rights conveyed

Transactions for intellectual property rights (previously reported under transaction codes 1 through 8 on this survey) must be reported based on the types of rights conveyed with each transaction. Sales to, or purchases from, foreign persons related to intellectual property rights typically fall into three categories: rights to use, rights to reproduce and/or distribute, and outright sale or purchase of the intellectual property.

**EXAMPLE:** Sales to foreign persons for rights related to the distribution of pharmaceutical products should be reported as "Rights related to the use of a patent, process, or trade secret to produce and/or distribute a product or service" which corresponds with transaction code 1.1 as shown in Column 1 of **Table 1** below.

**Table 1** Transactions With Foreign Persons Related to Intellectual Property Rights

Transaction code	Transaction type	Total sales to all foreign countries	Total purchases from all foreign countries
(1)	(2)	(3)	(4)
	<b>Rights related to patents, processes, and trade secrets</b>		
1.1	Rights related to the use of a patent, process, or trade secret to produce and/or distribute a product or service <span style="float: right; color: #00AEEF;">21001</span>	1 \$ 000	2 \$ 000
1.3	Outright sales or purchases of proprietary rights related to patents, processes, and trade secrets <span style="float: right; color: #00AEEF;">21002</span>	1 000	2 000
	<b>Rights related to books, music, etc.</b>		
2.1	Rights to use books, music, etc., including end-user rights related to digital content <span style="float: right; color: #00AEEF;">21003</span>	1 000	2 000
2.2	Rights to reproduce and/or distribute books, music, etc. <span style="float: right; color: #00AEEF;">21004</span>	1 000	2 000
2.3	Outright sales or purchases of proprietary rights related to books, music, etc. <span style="float: right; color: #00AEEF;">21005</span>	1 000	2 000
	<b>Rights related to trademarks</b>		
3.1	Rights to use trademarks <span style="float: right; color: #00AEEF;">21006</span>	1 000	2 000
3.3	Outright sales or purchases of proprietary rights related to trademarks <span style="float: right; color: #00AEEF;">21007</span>	1 000	2 000
	<b>Rights related to recorded performances and events such as radio and television programs and motion pictures</b>		
4.1	Rights to use recorded performances and events, including end-user rights related to digital content <span style="float: right; color: #00AEEF;">21008</span>	1 000	2 000
4.2	Rights to reproduce and/or distribute recorded performances and events <span style="float: right; color: #00AEEF;">21009</span>	1 000	2 000
4.3	Outright sales or purchases of proprietary rights related to recorded performances and events <span style="float: right; color: #00AEEF;">21010</span>	1 000	2 000
	<b>Rights to broadcast and record live performances and events</b>		
5.2	Rights to broadcast and record live performances and events <span style="float: right; color: #00AEEF;">21011</span>	1 000	2 000
	<b>Rights related to general use computer software</b>		
6.2	Rights to reproduce and/or distribute general use computer software <span style="float: right; color: #00AEEF;">21012</span>	1 000	2 000
6.3	Outright sales or purchases of proprietary rights related to general use computer software <span style="float: right; color: #00AEEF;">21013</span>	1 000	2 000
	<b>Franchise fees</b>		
7.1	Fees associated with business format franchising <span style="float: right; color: #00AEEF;">21014</span>	1 000	2 000
7.3	Outright sales or purchases of proprietary rights related to business format franchising <span style="float: right; color: #00AEEF;">21015</span>	1 000	2 000
	<b>Other intellectual property</b>		
8.1	Rights to use other intellectual property <span style="float: right; color: #00AEEF;">21016</span>	1 000	2 000
8.2	Rights to reproduce and/or distribute other intellectual property <span style="float: right; color: #00AEEF;">21017</span>	1 000	2 000
8.3	Outright sales or purchases of proprietary rights related to other intellectual property <span style="float: right; color: #00AEEF;">21018</span>	1 000	2 000
	<b>Sum of transactions reported on this page</b> ..... <span style="float: right; color: #00AEEF;">21000</span>	000	000

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## Determination of Reporting Status (Services)

**REPORTING INSTRUCTIONS** – **Table 2** (below) lists the types of selected services transactions covered by this survey. For each type listed, enter the U.S. Reporter's total transactions with foreign persons during the reporting period identified in question **1** of the survey. Enter the U.S. Reporter's total sales to foreign persons in column 3, and the U.S. Reporter's total purchases from foreign persons in column 4.

**NOTE:** Definitions of the types of selected services transactions covered in **Table 2** can be found on pages 25–30 of the General Instructions.

**Table 2 Sales and Purchases of Selected Services with Foreign Persons**

Transaction code (1)	Transaction type (2)	Total sales to all foreign countries (3)	Total purchases from all foreign countries (4)
9	Accounting, auditing, and bookkeeping services 31001	1 \$ 000	2 \$ 000
10	Advertising services 31002	1 000	2 000
11	Auxiliary insurance services 31003	1 000	2 000
12.1	Computer software, including end-user licenses and customization services 31004	1 000	2 000
12.2	Cloud computing and data storage services 31005	1 000	2 000
12.3	Other computer services 31006	1 000	2 000
13	Construction services 31007	1 000	2 000
14.1	News agency services (excludes production costs related to news broadcasters, see code 42) 31008	1 000	2 000
14.2	Other information services 31009	1 000	2 000
15	Education services 31010	1 000	2 000
16.1	Architectural services 31011	1 000	2 000
16.2	Engineering services 31012	1 000	2 000
16.3	Surveying, cartography, certification, testing, and technical inspection services 31013	1 000	2 000
17	Financial services 31014		2 000
18	Industrial engineering services (report in 16.2)		
19.1	Maintenance services 31015	1 000	2 000
19.2	Installation, alteration, and training services 31016	1 000	2 000
20	Legal services 31017	1 000	2 000
21.1	Market research services 31018	1 000	2 000
21.2	Public opinion polling services 31019	1 000	2 000
21.3	Other management, consulting, and public relations services 31020	1 000	2 000
22	Merchanting services (net receipts) 31021	1 000	
23	Mining services 31022	1 000	2 000
24	Operational leasing 31023	1 000	2 000
25	Trade-related services, other than merchanting services 31024	1 000	2 000
26	Artistic-related services 31025	1 000	2 000
27	Premiums paid on primary insurance 31026		2 000
28	Losses recovered on primary insurance 31027		2 000
29.1	Provision of customized and non-customized research and development services 31028	1 000	2 000
29.2	Other research and development services 31029	1 000	2 000
30	Telecommunications services 31030	1 000	2 000
32	Health services 31031	1 000	2 000
33	Heritage and recreational services 31032	1 000	2 000
34	Audiovisual and production services 31033	1 000	2 000
35	Contract manufacturing services 31034	1 000	2 000
36	Disbursements for sales promotion and representation 31035	1 000	2 000
37	Photographic services (including satellite photography services) 31036	1 000	2 000
38	Space transport services 31037	1 000	2 000
39	Trade exhibition and sales convention services 31038	1 000	2 000
40	Agricultural services 31039	1 000	2 000
41	Waste treatment and depollution services 31040	1 000	2 000
42	Other selected services n.i.e. (not included elsewhere) 31041	1 000	2 000
	<b>Sum of transactions reported on this page.....31000</b>	<b>1 000</b>	<b>2 000</b>

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